



09/1751899

2131

## TRANSMITTAL FORM

(to be used for all Correspondence after initial filing)

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Total Number of Pages in This Submission

JAN 08 2004

Application No.

09/571,899

Filing Date

December 27, 2000

First Named Inventor:

David W. Grayrock

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01-24-04

Art Unit

2131

Examiner Name

Unassigned

JAN 02 2004

Attorney Docket Number

42390P9844

Technology Center 2100

**GROUP 3600**

ENCLOSURES (check all that apply)

Fee Transmittal Form

Drawing(s)

After Allowance Communication to Group

Fee Attached

Licensing-related Papers

Appeal Communication to Board of Appeals and Interferences

Amendment / Response

Petition

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

After Final

Affidavits/declaration(s)

Petition to Convert a Provisional Application

Proprietary Information

Extension of Time Request

Power of Attorney, Revocation Change of Correspondence Address

Status Letter

Express Abandonment Request

Terminal Disclaimer

Other Enclosure(s) (please identify below):

Information Disclosure Statement

Cited References

PTO/SB/08

Request for Refund

Certified Copy of Priority Document(s)

CD, Number of CD(s)

Response to Missing Parts/ Incomplete Application

Remarks

Basic Filing Fee

Declaration/POA

Response to Missing Parts under 37 CFR 1.52 or 1.53

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

James Henry, Reg. No. 41,064

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

Date

December 12, 2003

JAN 21 2004

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

Corrinn R. Reynolds

Signature

Date

December 12, 2003

O I P E  
DEC 24 2003  
PTO/FEES

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

180.00

Complete if Known

Application Number	09/571,899
Filing Date	December 27, 2000
First Named Inventor	David W. Grawrock
Examiner Name	Unassigned
Group/Art Unit	2131
Attorney Docket No.	42390P9844

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JAN 02 2004

Technology Center 2100

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee Code	\$	Fee Code	\$	
1001	770	2001	385	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	265	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)		(\$)		

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20*	=	
Multiple Dependent	3	=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	\$	Fee Code	\$		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)			

\*or number previously paid, if greater. For Reissues, see below

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
1051	130	2051 65 Surcharge - late filing fee or oath	
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053 130 Non-English specification	
1812	2,520	1812 2,520 For filing a request for ex parte reexamination	
1804	920 *	1804 920 * Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805 1,840 * Requesting publication of SIR after Examiner action	
1251	110	2251 55 Extension for reply within first month	
1252	420	2252 210 Extension for reply within second month	
1253	950	2253 475 Extension for reply within third month	
1254	1,480	2254 740 Extension for reply within fourth month	
1255	1,210	2255 605 Extension for reply within fifth month	
1404	330	2401 165 Notice of Appeal	
1402	330	2402 165 Filing a brief in support of an appeal	
1403	290	2403 145 Request for oral hearing	
1451	1,510	2451 1,510 Petition to institute a public use proceeding	
1452	110	2452 55 Petition to revive - unavoidable	
1453	1,330	2453 665 Petition to revive - unintentional	
1501	1,330	2501 665 Utility issue fee (or reissue)	
1502	480	2502 240 Design issue fee	
1503	640	2503 320 Plant issue fee	
1460	130	2460 130 Petitions to the Commissioner	
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180 Submission of Information Disclosure Stmt	
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809	770	1809 385 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810 385 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801 385 Request for Continued Examination (RCE)	
1802	900	1802 900 Request for expedited examination of a design application	
Other fee (specify)			
SUBTOTAL (3)		(\$)	180.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

180.00

Complete (if applicable)

Name (Print/Type)	James Henry	Registration No. (Attorney/Agent)	41,064	Telephone	(714) 557-3800
Signature				Date	12/12/03

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 08/11/2003.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



09/571,899

Docket No.: 42390P9844

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DAVID W. GRAWROCK

Application No.: 09/571,899

Filed: December 27, 2000

For: **A Platform and Method for Creating a  
Secure Boot that Enforces Proper User  
Authentication and Enforces Hardware  
Configurations**

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JAN 02 2004

**Technology Center 2100**

Art Group: 2131

Examiner: Unassigned

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

12/31/2003 SSANDARA 00000010 09571899

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JAN 08 2004

**GROUP 3600**

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement (IDS) for the above-referenced patent application. In the concurrently filed electronic IDS submission, it was requested that any requisite fees be debited from Deposit Account 02-2666. Applicant respectfully requests that only one fee be debited for these concurrently filed IDS submissions if such fees are required.

Respectfully submitted,

~~BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP~~

~~James Henry~~ Reg. No. 41,064

Date: December 12, 2003

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Corinn R. Reynolds 12-12-03  
Corinn R. Reynolds Date